

INFORMATION DISCLOSURE STATEMENT PTO-1449		ATTY. DOCKET NO.: 39740-0007A		SERIAL NO.: 10/783,884			
		APPLICANT : Randy SCOTT, et al.					
		FILING DATE: February 19, 2004		GROUP: 1638			
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
SW	Burns, C.G. et al., "Removal of a Single α -Tubulin Gene Intron Suppresses Cell Cycle Arrest Phenotypes of Splicing Factor Mutations in <i>Saccharomyces cerevisiae</i> ", Molecular and Cell Biology, Vol. 22, No. 3, pp. 801-815, February 2002.						
SW	Clark, Tyson A. et al., "Genomewide Analysis of mRNA Processing in Yeast Using Splicing-Specific Microarrays", Science, Vol. 296, No. 5569, pp. 907-910, May 3, 2002.						
SW	Kapranov, Philipp, et al., "Large-Scale Transcriptional Activity in Chromosomes 21 and 22", Science, Vol. 296, No. 5569, pp. 916-919, May 3, 2002						
SW	Schneider J., et al., "Expression of LRP and MDR1 in Locally Advanced Breast Cancer Predicts Axillary Node Invasion at the Time of Rescue Mastectomy after Induction Chemotherapy", Breast Cancer Research, Vol. 3, No. 3, pp. 183-191, 2001						
SW	Thomázy, Vilmos A., et al, "Determination of Cyclin D1 and CD20 mRNA Levels by Real-Time Quantitative RT-PCR from Archival Tissue Sections of Mantle Cell Lymphoma and Other Non-Hodgkin's Lymphomas", The Journal of Molecular Diagnostics, Vol. 4, No. 4, pp. 201-208, November 2002.						
EXAMINER	/Samuel Woolwine/		DATE CONSIDERED 01/25/2007				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Translation	
							YES	NO
SW	1.	12/27/2002	WO 02/103320	Dai et al.	G01N			
	2.	06/07/2001	WO 01/40466	Baker et al.	C12N	15/12		
	3.	08/01/2002	WO 02/059377	Mack et al.	C12Q	1/68		
	4.	07/18/2002	WO 02/055988	Gish et al.	G01N			
	5.	09/27/2001	WO 01/70979	Lee et al.	C12N	15/12		
	6.	10/09/2003	WO 03/083096	Delaney et al.	C12N	9/12		
	7.	06/13/2002	WO 02/46467	Bertucci et al.	C12Q	1/68		
	8.	09/06/2002	WO 02/068579	Venter et al.	C12G			
	9.	01/21/1999	WO 99/02714	Billing-Medel et al.	C12N	15/63		
	10.	09/21/2000	WO 00/55173	Rosen et al.	C07H	21/04		
	11.	08/31/2000	WO 00/50595	Gout et al.	C12N	15/12		
	12.	01/03/2002	WO 02/00677	Birse et al.	C07H	21/02		
	13.	01/31/2002	WO 02/08261	Botstein et al.	C07K	14/00		
	14.	01/31/2002	WO 02/08260	Botstein et al.	C12N	15/52		
	15.	01/31/2002	WO 02/08282	Botstein et al.	C07K	14/47		
	16.	01/31/2002	WO 02/08765	Botstein et al.	G01N	33/574		
SW	17.	08/02/2001	WO 01/55320	Rosen et al.	C12N			

OTHER DOCUMENTS

(including author, title, date, pertinent pages, etc.)

Examiner Initials	Ref. No.	Title
SW	18.	Clement <i>et al.</i> , "Localization and Stability of Introns Spliced from the Penn Homebox Gene", The Journal of Biological Chemistry, Vol. 276, No. 20, pp 16919-16930, 2001
	19.	Clement <i>et al.</i> , "The stability and fate of a spliced intron from vertebrate cells", RNA, Vol. 5, pp 206-220, 1999
	20.	Elliott <i>et al.</i> , "Yeast Pre-mRNA Is Composed of Two Populations with Distinct Kinetic Properties", Experimental Cell Research, Vol. 229, pp 181-188, 1996
	21.	Godfrey <i>et al.</i> , "Quantitative mRNA Expression Analysis from Formalin-Fixed, Paraffin-Embedded Tissues Using 5' Nuclease Quantitative Reverse Transcription-Polymerase Chain Reaction", Journal of Molecular Diagnostics, Vol. 2, No. 2, pp :84-91, May 2000
	22.	Lewin, Benjamin, <i>Genes IV.</i> , pp 810 and 812, Oxford University Press, Cell Press, Cambridge Mass. 1990
	23.	Padgett <i>et al.</i> , "Splicing of Messenger RNA Precursors", Ann. Rev. Biochem., Vol. 55, pp 1119-1150, 1986
	24.	Specht <i>et al.</i> , "Quantitative Gene Expression Analysis in Microdissected Archival Formalin-Fixed and Paraffin-Embedded Tumor Tissue", American Journal of Pathology, Vol. 158, No. 2, pp 419-429, 2001
SW	25.	Thomas <i>et al.</i> , "The 2-Kilobase Intron of the Herpes Simplex Virus Type 1 Latency-Associated Transcript Has a Half-Life of Approximately 24 Hours in SY5Y and COS-1 Cells", Journal of Virology, pp 532-540, January 2002

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*If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identical in the statement and relied upon for an earlier filing date under 35 U.S.C. §120. 37 C.F.R. §1.98 (d).	
SV 2123213 v1	

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INFORMATION DISCLOSURE STATEMENT		Applicant(s) Randy SCOTT, et al.	
(use several sheets if necessary)		Filing Date: February 19, 2004	Group Art Unit: 1638
SW	26.	Wang <i>et al.</i> , "Regulation of insulin preRNA splicing by glucose", Proc. Natl. Acad. Sci. USA, Vol. 94, pp 4360-4365, April 1997	

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SV 2123213 v1



SHEET 1 OF 2

INFORMATION DISCLOSURE STATEMENT		ATTY. DOCKET NO.		SERIAL NO.		
PTO-1449		39740-0007		10/783,884		
		APPLICANT: Randy Scott et al.				
		FILING DATE: 2/19/2004		GROUP: 1637		
U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
SW	2002/0004491	1/10/2002	Jiangchun Xu, et al.	514	44	
	2002/0039764	4/4/2002	Craig A. Rosen	435	69.1	
	2002/0160395	10/31/2002	Dario C. Altieri, et al.	435	6	
	2003/0198972	10/23/2003	Mark G Erlander, et al.	435	6	
	2003/0219771	11/27/2003	Michael Bevilacqua, et al.	435	6	
	2003/0229455	12/11/2003	Michael Bevilacqua, et al.	702	20	
	2004/0126775	7/1/2004	Dario C. Altieri, et al.	435	6	
	4,968,603	11/06/1990	Dennis J. Slamon, et al.	435	6	
	5,202,429	4/13/1993	Yoshihide Tsujimoto, et al.	536	23.5	
	5,670,325	9/23/1997	Stanley N. Lapidus, et al	435	6	
	5,741,650	4/21/1998	Stanley N. Lapidus, et al	435	6	
	5,830,665	11/3/1998	Anthony P. Shuber, et al	435	6	
	5,830,753	11/3/1998	Pierre Coulie, et al.	435	325	
	5,861,278	1/19/1999	Gordon W. Wong, et al.	435	69.1	
	5,928,870	7/27/1999	Stanley N. Lapidus, et al.	435	6	
	5,952,178	9/14/1999	Stanley N. Lapidus, et al.	435	6	
	5,962,312	10/5/1999	Gregory Plowman, et al.	435	320.1	
	6,020,137	2/1/2000	Stanley N. Lapidus, et al.	435	6	
	6,100,029	8/8/2000	Stanley N. Lapidus, et al.	435	6	
	6,143,529	11/7/2000	Stanley N. Lapidus, et al.	435	91.2	
	6,146,828	11/14/2000	Stanley N. Lapidus, et al.	435	6	
	6,171,798	1/9/2001	Arnold L Levine, et al.	435	6	
	6,207,401	3/27/2001	Gregory Plowman, et al.	435	15	
	6,245,523	6/12/2001	Dario C. Altieri	435	69.1	
SW	6,620,606	9/16/2003	Olga Bandman, et al.	435	219	
EXAMINER /Samuel Woolwine/		DATE CONSIDERED 01/25/2007				

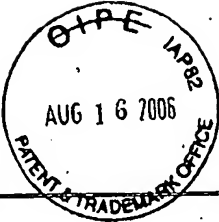
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EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
SW	6,696,558	2/24/2004	John C. Reed, et al.	536	23.5	
↓	6,716,575	4/6/2004	Gregory Plowman, et al.	435	6	
	6,750,013	6/15/2004	Kurt C. Gish, et al.	435	6	
	6,203,993	3/20/2001	Anthony P. Shuber, et al.	435	6	
	6,214,558	4/10/2001	Anthony P. Shuber, et al.	435	6	
	6,248,535	6/19/2001	Danenberg Kathleen, et al	435	6	
↓	6,800,737	10/5/2004	Dario C. Altieri	530	386	
SW	6,943,150	9/13/2005	Dario C. Altieri	514	21	
EXAMINER /Samuel Woolwine/			DATE CONSIDERED 01/25/2007			

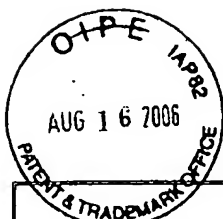
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U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PUBLICATION NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
SW	2002/0009736	01/24/02	Wang	435	6		
↓	2003/0073112	04/17/03	Zhang et al.	435	6		
	2003/0104499	06/05/03	Pressman et al.	435	7.23		
	2003/0165952	09/04/03	Linnarsson et al.	435	6		
	2003/0180791	09/25/03	Chinnadurai	435	6		
	2003/0198970	10/23/03	Roberts	435	6		
↓	2004/0009489	01/15/04	Golub et al.	435	6		
SW	2004/0133352	07/08/04	Bevilacqua et al.	702	19		
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
SW	4,699,877	10/13/87	Cline et al.	435	6		
↓	5,015,568	05/14/91	Tsujimoto et al.	435	5		
	5,459,251	10/17/95	Tsujimoto et al.	536	23.5		
	RE 35491	04/08/97	Cline et al.	435	6		
	5,858,678	01/12/99	Chinnadurai	435	7.1		
	5,952,179	09/14/99	Chinnadurai	435	6		
	5,985,553	11/16/99	King et al.	435	6		
	6,207,452	03/27/01	Govindaswamy	435	330		
	6,271,002	08/07/01	Linsley et al.	435	91.1		
	6,322,986	11/27/01	Ross	435	6		
	6,414,134	07/02/02	Reed	536	24.5		
	6,582,919	06/24/03	Danenberg	435	6		
	6,602,670	08/05/03	Danenberg	435	6		
	SW	6,618,679	09/09/03	Loehrlein et al.	702	20	
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							YES	NO
SW		05/04/88	EP 0 108 564 B1	Cline et al.			N/A	
↓		11/26/03	EP 1 365 034	Wirtz et al.			X	
		04/12/01	WO 01/25250	Slamon et al.			X	
		01/24/02	WO 2002/006526	Slamon et al.			X	
		07/03/02	WO 02/17852	Warrel et al.			X	
SW		02/13/03	WO 03/011897	Singer et al.			X	

OTHER DOCUMENTS

(including author, title, date, pertinent pages, etc.)

Examiner Initials	Ref. No.	Title
SW		Brabender, Jan, et al.; <i>Epidermal Growth Factor Receptor and HER2-neu mRNA Expression in Non-Small Cell Lung Cancer Is Correlated with Survival</i> , Clinical Cancer Research; Vol. 7, July 2001; pgs. 1850-1855.
SW		Ding, Chunming, et al.; <i>A high-throughput gene expression analysis technique using competitive PCR and matrix-assisted laser desorption ionization time-of-flight MS</i> , PNAS, Vol. 100:6; March 18, 2003; pgs. 3059-3064.
SW		Cambridge Healthtech Institute Conference Agenda; "ENABLING MOLECULAR PROFILING WITH CELLULAR RESOLUTION: Microgenomics Using Homogeneous Cell Samples"; Dec. 2002; 5 pgs.
SW		Yang, Li, et al.; <i>BADGE, BeadsArray for the Detection of Gene Expression, a High-Throughput Diagnostic Bioassay</i> ; Genome Research; Vol. 11; 2001; pgs. 1888-1898.

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